



**THE NATIONAL ASSEMBLY FOR WALES COMMISSION  
STANDARD CONDITIONS OF CONTRACT FOR THE PURCHASE OF  
CONSULTANCY WORK  
NAW1**



## **GENERAL CONDITIONS OF CONTRACT FOR CONSULTANCY PROJECT**

**These Conditions may only be varied with the written agreement of the Client. No terms or conditions put forward at any time by the Consultant shall form any part of the contract.**

### **1. DEFINITIONS**

1.1 In these conditions, the words and phrases below shall have the following meaning:

'Client' means The National Assembly for Wales or any designated representative of it;

'Client Property' means any property, other than real property, issued or made available to the Consultant by the Client in connection with the Contract;

'Commencement Date' means (without prejudice to any applicable Standstill

Period) the date specified in the Tender Documents or (if no specific date is given):

(a) the date upon which award of this Contract was notified to the

Consultant, where there is no applicable Standstill Period; or

(b) where a Standstill Period applies, the first business day after the expiry of the applicable Standstill Period;

'Condition' means a condition within this Contract;

'Confidential Information' means information (however it is conveyed or on whatever media it is stored), the disclosure of which would constitute an actionable breach of confidence, and which has either been designated as confidential by either Party in writing or which ought to be

considered as subject to a duty of confidentiality and includes but is not limited to information which relates to the business, affairs, properties, assets, trading practices, developments, trade secrets, Intellectual Property Rights, know-how, personnel, customers and suppliers of either Party;

'Contract' means the contract between the Client and the Consultant consisting of the Tender Documents, these Conditions and any other documents (or parts thereof) specified in the Tender Documents;

'Consultant' means the person, firm or company to whom the Contract is issued;

'Contract Period' means the period of duration of the Contract in accordance with

Condition

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'Contract Price' means the monies payable by the Client to the Consultant for the provision of the Services as set out in the Contract;

'Default' means any breach of an obligation of either Party under the Contract (including but not limited to fundamental breach or a breach of a fundamental term) or any default, act, omission, negligence or statement of either Party, its employees, servants, agents or sub-contractors in connection with or in relation to the subject matter of the Contract and in respect of which such Party is liable to the other;

'Goods' means any goods as are to be supplied to the Client by the Consultant (or by any of the Consultant's sub-contractors or sub-consultants) pursuant to or in connection with this Contract;

'Intellectual Property Rights' means patents, inventions, trade marks, service marks, logos, design rights (whether registrable or otherwise), applications for any of the foregoing, copyright, database rights, domain names, trade or business names, moral rights and any other similar rights or obligations whether registrable or not in any country (including but not limited to the UK) and the right to sue for passing off;

'Party' means the Client or the Consultant, together "the Parties";

'Premises' means the location where the Services are to be performed, as specified in the Tender Document;

'Services' means the services to be provided as specified in the Tender Documents;

'Specification' means the specification for the Services the Client requires the Consultant to perform as set out in the Tender Documents;

'Standstill Period' means (to the extent applicable to the award of this Contract):

(a) the period specified in the Tender Documents which is to be allowed between notification of the Client's decision to award the Contract to the Consultant, and the Contract being entered into between the Parties; or

(b) if no such period is specified in the Tender Documents, the minimum mandatory period which must be allowed between notification of the Client's decision to award the Contract to the Consultant and the Contract being entered into between the Parties, of at least ten (10) calendar days (or such other period as may be imposed from time to time by any legal or administrative requirement applicable to the Client);

'Staff' means all persons employed by the Consultant to perform the Contract and/or the Services together with the Consultant's servants, agents and sub-contractors used in the performance of the Contract and/or the Services;

'Tax' means Value Added Tax, customs duties and any other applicable taxes or duties;

'Tender Documents' means the documents as contained in Schedule 1.

1.2 In these Conditions a reference to any statute, enactment, order, regulation or other similar instrument ("legislation") shall, unless the context requires otherwise, be construed as a reference to such legislation as amended by any subsequent legislation or as contained in any subsequent re-enactment thereof.

1.3 Unless the context otherwise requires, words denoting the singular shall include the plural and vice versa, and references to any person shall include natural persons, partnerships, firms, corporations and other incorporated bodies and all other legal persons of whatever kind and however constituted, and words denoting any gender shall include the masculine, the feminine and the neuter.

1.4 The use of headings and bold type in this Contract are for convenience only and shall not affect the interpretation of any provision of this Contract.

- 1.5 Unless the context otherwise requires, a reference to any document shall be construed as a reference to the document as at the date of execution of this Contract.
- 1.6 References to Conditions and Schedules are, unless otherwise provided, references to conditions of and schedules to this Contract.

1.7 In the event of any conflict or inconsistency between any provision of the

Conditions and any provision of the Schedules, the Conditions shall prevail.

1.8 Any reference to “approval” by the Client shall mean approval in writing.

## **2. DURATION**

2.1 The Contract shall take effect on the Commencement Date and shall expire automatically on the date specified in the Tender Documents, unless it is otherwise terminated in accordance with these Conditions, or otherwise lawfully terminated, or extended in accordance with Condition 2.2 below.

2.2 If provided for in the Tender Documents, subject to satisfactory performance by the Consultant during the Contract Period, the Client may extend the Contract for such further period as set out in the Tender Documents. The Client shall give notice to the Consultant if it wishes to do so no less than twenty-eight (28) days before the end of the Contract Period. The provisions of the Contract will continue to apply throughout any extended period.

## **3. INSPECTION OF PREMISES AND NATURE OF THE SERVICES**

3.1 The Consultant is deemed to have inspected the Premises before tendering so as to have understood the nature and extent of the Services to be carried out and satisfied himself in relation to all matters connected with the Services and Premises. Any cost incurred by the Client due to failure of the Consultant to inspect the Premises or understand the nature and extent of the Services in accordance with this Condition 3 shall be borne by the Consultant.

3.2 The Client shall, at the request of the Consultant, grant such access as may be reasonable for this purpose.

3.3 The Consultant shall be responsible for the accuracy of all drawings, documentation and information supplied to the Client by the Consultant and shall pay the Client any extra costs occasioned by any discrepancies, errors or omissions therein.

#### 4. **APPOINTMENT**

4.1 The Client appoints the Consultant to provide the Services:

- 4.1.1 promptly and in a professional and courteous manner so as to reflect and promote the image of the Client;
- 4.1.2 strictly in accordance with the Tender Documents and all the provisions of the Contract;
- 4.1.3 in accordance with all applicable UK and European laws and regulations, codes of practice and good industry practice;
- 4.1.4 in accordance with the policies (including any racial discrimination and equal opportunities policies), rules, procedures and the quality standards of the Client as amended from time to time.

4.2 The Consultant accepts the terms of the appointment as provided in  
Condition 4.1.

## 5. **PERFORMANCE OF THE SERVICES**

- 5.1 The Consultant shall provide at its own expense all Staff, equipment, tools, appliances, materials or items for the provision of the Services.
- 5.2 If the Tender Documents provide for performance of the Services in stages, the Consultant undertakes to perform the Services in strict compliance with the timetable for stages as provided in the Tender Documents.
- 5.3 In addition to any more specific obligations imposed by the terms of the Contract, it shall be the duty of the Consultant to provide the Services using the degree of skill, care and diligence reasonably to be expected of a Consultant carrying on the trade business or profession of the Consultant and who is experienced in providing Services of similar value, nature, scope and complexity to the Services.
- 5.4 The Consultant will ensure that all its obligations to be performed under the Contract are performed and rendered by suitably

experienced, qualified and trained Staff using all due skill, care and diligence.

- 5.5 The Consultant shall institute and maintain a properly documented system of quality control (which shall comply with any more detailed requirements set out in the Specification) to ensure that the Services are performed in accordance with the provisions of the Contract.

- 5.6 In addition to any other rights of the Client under the Contract, the Client shall be entitled to inspect and examine the provision of the Services being carried out at the Premises without notice at any time. The Consultant shall provide to the Client all such facilities as the Client may require for such inspection and examination.
- 5.7 If any part of the Services are found to be defective or different in any way from the Tender Documents, or not in compliance with the provisions of the Contract, the Consultant shall at its own expense re-perform the Services in question (without additional remuneration) within such time as the Client may reasonably specify failing which the Client shall be entitled to procure performance of the defective Services from a third party or to execute the tasks in question itself.
- 5.8 If the cost to the Client of executing or procuring such Services exceeds the amount that would have been payable to the Consultant for such Services, the excess shall be paid by the Consultant to the Client on demand in addition to any other sums which may be payable by the Consultant to the Client.

## **6. STATUTORY OBLIGATIONS**

- 6.1 In performing the Contract, the Consultant shall comply with all applicable statutory obligations for the time being in force including, but without prejudice to the generality of the foregoing, those relating to health, safety and welfare, environment, employment, data protection, race relations and sexual discrimination. The Consultant shall take all reasonable steps to ensure the observance of these provisions by all Staff of the Consultant employed or engaged in the execution of the Contract. The Consultant shall indemnify the Client and the Crown against all actions, claims, losses, demands, costs and expenses which the Client may suffer or incur as a result of or in connection with any breach of any statutory obligation.

## **7. TIME OF PERFORMANCE**

- 7.1 The Consultant shall begin the Services on the date stated in the Specification and shall complete the

Services by the date stated in the Specification or continue to perform the Services for the period stated in the Specification (whichever is applicable). Time of performance is of the essence of the Contract. The Client may by written notice require the Consultant to execute the Services in such order as the Client may decide.

In the absence of such notice, the Consultant shall submit detailed programmes of work and progress reports as the Client may from time to time require.

- 7.2 If performance of the Contract by the Consultant is delayed by reason of any act or Default on the part of the Client, or by any other cause that the Consultant could not have reasonably foreseen or prevented and for which it was not responsible, the Consultant shall be entitled to a reasonable extension of time for completion of such part of the Services or delivery of the Goods so affected.

## **8. MONITORING OF CONTRACT PERFORMANCE**

- 8.1 The Consultant shall comply with such monitoring arrangements as may be set out in the Tender Documents and in any event shall provide such data and information relating to performance of the Services as the Client may reasonably require.

## **9. AUDIT**

- 9.1 The Consultant shall keep and maintain until two (2) years after the Contract has been completed or as long a period as may be agreed between the Parties, full and accurate records of the Contract including the Goods and/or Services provided under it, all expenditure reimbursed by the Client, all payments made by the Client, and (where any Staff are paid for on a time-charge basis) of the hours worked and costs incurred in connection with the Contract. The Consultant shall on request afford the Client or its representatives such access to those records as may be required by the Client in connection with the Contract. The provisions of this Condition 9 shall apply during the continuance of this Contract and shall survive its expiry or termination.

## **10. CLIENT PROPERTY**

- 10.1 Where the Client for the purpose of the Contract issues Client Property free of charge to the Consultant, such property shall be and remain the property of the Client. The Consultant shall not in any circumstances have a lien on the Client Property and the Consultant shall take all reasonable steps to ensure that the title of the Client to such property and the exclusion of any such lien are

brought to the notice of all sub-Consultants and other persons dealing with the Contract.

- 10.2 Any Client Property made available or otherwise received by the Consultant shall be deemed to be in good condition when received by or on behalf of the Consultant, unless the Consultant notifies the Client otherwise within seven (7) days of receipt.
- 10.3 The Consultant shall maintain all Client Property in good order and condition and shall use such property solely in connection with the Contract and for no other purpose without prior approval in writing.
- 10.4 The Consultant shall notify the Client of any surplus Client Property remaining after completion of the Contract and shall dispose of it as the Client may direct.
- 10.5 Waste of Client Property arising from bad workmanship or negligence of the Consultant or any of the Consultant's Staff or suppliers shall be made good at the Consultant's expense. Without prejudice to any other right or remedy of the Client, the Consultant shall deliver up Client Property on demand to the Client (whether processed or not).
- 10.6 The Consultant shall ensure the security of all Client Property, whilst in the Consultant's possession, either on its premises or elsewhere during the performance of the Contract, in accordance with the Client's reasonable security requirements from time to time.
- 10.7 The Consultant shall be liable for any and all loss of or damage to any Client Property unless the Consultant is able to demonstrate that such loss or damage was caused by the negligence or Default of the Client. The Consultant shall forthwith inform the Client of any defects appearing in or losses or damage occurring to Client Property made available for the purposes of the Contract.

## 11. **SUSTAINABILITY**

- 11.1 The Consultant shall, when working on the Client's Premises, perform the Contract in accordance with the Client's environmental policies as notified to the Consultant from time to time and in any event will use all reasonable endeavours to –

11.1.1 conserve energy, water, wood, paper and other resources;

11.1.2 reduce waste;

11.1.3 phase out the use of ozone depleting substances; and

11.1.4 minimise the release of greenhouse gases, volatile organic compounds and other substances damaging to health or the environment.

## 12. **NO SMOKING**

12.1 The Client has a no smoking policy in all its Premises. The Consultant shall ensure that its Staff observe the no smoking policy whilst carrying out any Services at the Client's Premises. Any failure by the Consultant's Staff to comply with the Client's no smoking policy will result in the Consultant's Staff being asked to leave the Premises and any loss resulting from this action shall be borne by the Consultant.

## 13. **VARIATION OF THE SERVICES**

13.1 The Client reserves the right by written notice to the Consultant to vary the quality or quantity of the Services. Any variation, and any alteration to the Contract Price or the time for performance of any obligation under the Contract which arises by reason of such variation, shall be of no effect unless agreed in writing between the Parties.

13.2 The Consultant shall within seven (7) days of receipt of a notice of variation pursuant to Condition 13.1 above advise the Client in writing of any amendment to the Contract Price and/or the time for performance of any obligation under the Contract which the Consultant believes is necessary in consequence of the proposed variation. Any such amendment shall be determined (so far as possible) by reference to the rates and prices set out in the Tender Documents. In so far as it is impossible to so determine such amendment, then the amendment is to be determined on a fair and reasonable basis, taking into account the rates, prices and practices in the industry to which the Services relate.

13.3 If the Parties fail to agree on amendments requested by the Consultant under

Condition 13.2 above, then the Client may either –

13.3.1 withdraw the notice of variation, in which case the

Consultant shall be under no obligation to implement the proposed variation and the Contract will continue in full force and without any amendment; or

13.3.2 give notice to the Consultant to proceed to implement the variation without agreement, in which case the Consultant will proceed forthwith to implement the variation, and shall be entitled to be paid a fair and reasonable sum and be entitled to such additional time as may be reasonable to be determined in accordance with the principles set out in Condition 13.2. If the Parties fail to agree on any outstanding issues as to the Contract Price and time for performance arising from the variation within seven (7) days of the Client having given notice under this Condition 13.3.2, either Party may refer the outstanding issue or issues as a dispute for determination in accordance with Condition 46 (Dispute Resolution).

13.4 The variation shall take effect as from the date specified in the signed record of variation and shall not have retrospective effect unless expressly provided for in such record.

13.5 Each record of variation shall be dated and sequentially numbered.

13.6 Save as provided for in any such record of variation the Contract shall continue in full force and effect.

#### **14. CONFLICTS OF INTEREST**

14.1 The Consultant shall take appropriate steps to ensure that neither the Consultant nor any member of its Staff is placed in a position where there is or may be an actual conflict, or a potential conflict, between the pecuniary or personal interests of the Consultant or its Staff and the duties owed to the Client under the Contract. The Consultant will disclose to the Client full particulars of any such conflict of interest which may arise as soon as possible after becoming aware of it. The provisions of this Condition 14 shall apply during the continuance of the Contract and indefinitely after expiry or termination.

#### **15. CONTRACT PRICE**

15.1 In consideration of the performance of the Consultant's obligations under the Contract by the Consultant, the Client shall pay the

Contract Price.

- 15.2 In the event that the cost to the Consultant of performing its obligations under the Contract increases or decreases as a result of any new legislation

or regulation being made after the commencement of the Contract Period, any variation to the Contract Price will be assessed on an individual basis. Such variation will not be allowed in relation to legislation or regulations enacted after the commencement of the Contract Period but which were made public prior to the commencement of the Contract Period. The impact of any such variation on the Consultant's costs will be deemed to have been included in the Contract Price.

## 16. PAYMENT AND TAX

16.1 The Consultant shall be entitled to be reimbursed by the Client the amount of all expenses reasonably and properly incurred by him in the performance of his duties hereunder subject to production of such evidence thereof as the Client may reasonably require and subject to the maximum specified in the price schedule.

16.2 The Client shall pay the Consultant, in addition to the Contract Price and Condition 16.1, a sum equal to the Tax chargeable on the value of the Goods provided in accordance with the Contract.

16.3 Payment will be made in cleared funds within thirty (30) days of receipt and agreement of invoices, submitted monthly in arrears, for work completed to the satisfaction of the Client.

16.4 Each invoice shall contain all appropriate references and a detailed breakdown of the Goods and/or Services and shall be supported by any other documentation required by the Client to substantiate the invoice.

16.5 Where the Consultant enters into a sub-contract with a supplier or Consultant for the purpose of performing the Contract, it shall cause a term to be included in such sub-contract which requires payment to be made by the Consultant to the sub-Consultant within a specified period not exceeding thirty (30) days from the receipt of a valid invoice, as defined by the sub-contract requirements.

16.6 Tax, where applicable, shall be shown separately on all invoices as a strictly net extra charge.

16.7 The Client may reduce payment in respect of any Goods and/or

Services which the Consultant has either failed to provide or has provided

inadequately, without prejudice to any other rights and remedies of the Client.

## **17. RECOVERY OF SUMS DUE**

- 17.1 Wherever under the Contract any sum of money is recoverable from or payable by the Consultant (including any sum which the Consultant is liable to pay to the Client in respect of any breach of the Contract), the Client may unilaterally deduct that sum from any sum then due, or which at any later time may become due to the Consultant under the Contract or under any other agreement or contract with the Client or with any department, agency or authority of the Crown.
- 17.2 Any overpayment to the Consultant by the Client, whether of the Contract Price or of Tax, shall be a sum of money recoverable by the Client from the Consultant.
- 17.3 The Consultant shall make any payments due to the Client without any deduction whether by way of set-off, counterclaim, discount, abatement or otherwise unless the Consultant has a valid court order requiring an amount equal to such deduction to be paid by the Client to the Consultant.

## **18. PRICE ADJUSTMENT ON EXTENSION OF THE CONTRACT PERIOD**

- 18.1 The Contract Price shall be firm for the initial Contract Period. In the event of an extension being considered beyond the Contract Period in accordance with Condition 2.2 ("Extended Contract Period"), the Client and the Consultant shall review the Contract Price prior to expiry of the initial Contract Period.
- 18.2 Any claim for increase or decrease in the Contract Price during the Extended Contract Period will only be considered if supported by an appropriate index already agreed between the Client and the Consultant, for the period in question, such index to be determined by negotiation or mediation in default of

agreement between the Parties.

- 18.3 Following agreement in writing with the Client, the Consultant may increase or decrease the Contract Price to be charged for the Extended Contract Period.

## 19. CONSULTANT'S STAFF

- 19.1 The Client reserves the right under this Contract to refuse to admit to, or to withdraw permission to remain on, any Premises occupied by or on behalf of the Client or the Crown:

19.1.1 any member of the Consultant's Staff;

19.1.2 any person employed or engaged by a sub-contractor, agent or servant of the Consultant,

whose admission or continued presence would be, in the opinion of the Client, undesirable.

- 19.2 The Consultant and its Staff must when present at any Premises occupied by or on behalf of the Client or the Crown, comply fully with any rules, regulations and requirements (including without limitation those relating to security arrangements) as may be in force from time to time for the conduct of personnel when at those Premises. This may include but is not limited to security checks on the Consultant and the Consultant's Staff.

- 19.3 If the Consultant or its Staff fail to comply with Condition 19.2 above, the Client (whose decision shall be final and conclusive) may decide that such failure is prejudicial to the interests of the State and if the Consultant does not comply with the provisions of Condition 19.2 within a reasonable time of written notice from the Client to do so then the Client may terminate the Contract provided always that such termination shall not prejudice or affect any right of action or remedy which shall have accrued or shall thereafter accrue to the Client.

- 19.4 The Consultant should take all reasonable steps to ensure that in the performance of the Contract none of the following enters

the Premises:

- 19.4.1 Any person (not being a national of a member state of the European Union) who is an alien, or a naturalised person within the meaning of the British Nationality Act 1981, unless the prior permission in writing of the Client has been obtained.
- 19.5 If and when instructed by the Client, the Consultant shall give to the Client a list of names and addresses of all persons who it is expected may require admission in connection with the Contract to any Premises occupied by or on behalf of the Client or the Crown, specifying the capacities in which they are so concerned, and giving such other particulars and evidence of identity and other supporting evidence as the Client may reasonably require.
- 19.6 If and when directed by the Client, the Consultant shall secure that any of its Staff who is specified in the direction given by the Client, or is one of a class of persons who may be so specified, shall sign a statement that such person understands that the Official Secrets Acts 1911 to 1989 apply to him both during the term of this Contract and after its expiry or termination.
- 19.7 The Consultant shall take the steps reasonably required by the Client to prevent unauthorised persons being admitted to the Premises. If the Client gives the Consultant notice that any person is not to be admitted to or is to be removed from the Premises or is not to become involved in or is to be removed from involvement in the performance of the Contract, the Consultant shall take all reasonable steps to comply with such notice and if required by the Client the Consultant shall replace any person removed under this Condition 19 with another suitably qualified person and procure that any pass issued to the person removed is surrendered.
- 19.8 The decision of the Client as to whether any person is to be admitted to or is to be removed from the Premises or is not to become involved in or is to be removed from involvement in the performance of the Contract and as to whether the Consultant has furnished the information or taken the steps required of him by this Condition 19 shall be final and conclusive.

- 19.9 The Client shall have no liability in respect of any claim made or any award of compensation in respect of redundancy or unfair or wrongful dismissal to any employee of the Consultant in respect of his or her service with the Consultant and arising from the operation of the Client's security measures.
- 19.10 The Consultant agrees to notify the Client of any impending disputes with its employees which could affect the operation of the Services.

## 20. **HEALTH AND SAFETY**

- 20.1 The Consultant shall promptly notify the Client of any health and safety hazards which may arise in connection with the performance of the Contract.
- 20.2 The Client shall promptly notify the Consultant of any health and safety hazards which may exist or arise at the Client's Premises and which may affect the Consultant in the performance of the Contract.
- 20.3 While on the Client's Premises, the Consultant shall comply with any health and safety measures implemented by the Client in respect of Staff and other persons working on those Premises.
- 20.4 The Consultant shall notify the Client immediately in the event of any incident occurring in the performance of the Contract on the Client's Premises where that incident causes any personal injury or damage to property which could give rise to personal injury.
- 20.5 The Consultant shall take all necessary measures to comply with the requirements of the Health and Safety at Work etc Act 1974 ("the 1974 Act") and any other acts, orders, regulations and codes of practice relating to health and safety, which may apply to Staff and other persons working on the Premises in the performance of the Contract.

20.6 The Consultant shall ensure that its health and safety policy statement (as required by the 1974 Act) is made available to the Client on request.

## 21. CORRUPT GIFTS OR PAYMENTS

21.1 The Consultant shall not offer or give, or agree to give, to any employee, servant, agent or representative of the Client any gift or consideration of any kind as an inducement or reward for doing or refraining from doing, or for having done or refrained from doing, any act in relation to the obtaining or execution of this Contract or any other contract with the Client or the Crown or for showing or refraining from showing favour or disfavour to any person in relation to this Contract or any such contract. The attention of the Consultant is drawn to the criminal offences created by the prevention of Corruption Acts 1889 to 1916.

21.2 The Consultant shall not enter into this Contract if in connection with it commission has been paid or is agreed to be paid to any employee, servant, agent or representative of the Client by the Consultant or on the Consultant's behalf, unless before this Contract is made particulars of any such commission and of the terms and conditions of any agreement for the payment thereof have been disclosed in writing to the Client.

21.3 Where the Consultant or any of its Staff or anyone acting on the Consultant's behalf, breach the provisions of Conditions 21.1 and 21.2 in relation to this or any other contract with the Client or the Crown, the Client has the right to:

21.3.1 terminate the Contract with immediate effect and recover from the Consultant the amount of any loss suffered by the Client resulting from the termination;

21.3.2 recover from the Consultant the amount or value of any such gift, consideration or commission; and

21.3.3 recover in full from the Consultant any other loss suffered by the Client in consequence of any breach of this Condition 21, whether or not the Contract has been terminated.

21.4 In exercising its rights or remedies under this Condition 21, the Client shall:

21.4.1 act in a reasonable and proportionate manner having regard to such matters as the gravity of, and the identity of the person performing the prohibited act;

21.4.2 give all due consideration, where appropriate, to action other than termination of the Contract.

## 22. FRAUD

22.1 The Consultant shall safeguard the Client's funding of the Contract against fraud generally and, in particular, fraud on the part of the Staff, or the Consultant's directors and suppliers. The Consultant shall notify the Client immediately if it has reason to suspect that any fraud has occurred or is occurring or is likely to occur.

## 23. OFFICIAL SECRETS ACTS 1911 TO 1989, SECTION 182 OF THE FINANCE ACT 1989

23.1 The Consultant undertakes to abide by, and to ensure that its Staff abide by, the provisions of:

23.1.1 the Official Secrets Acts 1911 to 1989; and

23.1.2 Section 182 of the Finance Act 1989 (disclosure of information relating to tax and other matters).

23.2 In the event that the Consultant and/or its Staff fail to comply with this Condition 23, the Client reserves the right to terminate the Contract by giving notice in writing to the Consultant.

23.3 The provisions of Condition 23 shall apply during the continuance of the Contract and indefinitely after its expiry or termination.

## 24. CONFIDENTIALITY

24.1 Without prejudice to the application of the Official Secrets Acts

1911 to

1989 to any Confidential Information, the Consultant acknowledges that any Confidential Information obtained from or relating to the Client, the Crown, and its or their employees, servants, agents or sub-contractors, is the property of the Client or the Crown as the case may be.

24.2 Each Party shall:

24.2.1 maintain in confidence any information or materials provided to it directly or indirectly by the other Party under, or in anticipation of this Contract, taking such reasonable security measures as it takes to protect its own confidential information and trade secrets;

24.2.2 treat all Confidential Information obtained as secret and confidential and safeguard it accordingly, and only use it for the purpose of this Contract;

24.2.3 not disclose any Confidential Information to any other person other than to its Staff who have accepted obligations of confidentiality equivalent to this Condition 24 and who need to have access to such information or materials in connection with the performance of the Contract.

24.3 Without prejudice to Condition 24.2.3 of the Contract neither Party shall disclose any Confidential Information to any other person whatsoever without the prior written consent of the Party supplying it.

24.4 The Consultant shall provide all necessary precautions to ensure that all

(without the Client's prior approval in writing) or used other than for the purpose of this Contract by the Consultant's Staff.

24.5 Without prejudice to the generality of the foregoing neither the Consultant nor any of its Staff shall use the Confidential Information for the solicitation of business from the Client its employees, servants, agent or sub-contractors or the Crown.

24.6 Where it is considered necessary in the opinion of the Client, the Consultant shall ensure that the Staff or any other person engaged

by it in connection with the Contract shall sign a confidentiality undertaking in a form specified by the Client before commencing work in connection with the Contract.

24.7 The provisions of this Condition 24 shall not apply to any information which:

24.7.1 is or becomes public knowledge (otherwise than by breach of this Contract); or

24.7.2 which is in the possession of the receiving Party, without restriction as to its disclosure, before receiving it from the disclosing Party; or

24.7.3 which is received from a third party who lawfully acquired it and who is under no obligation restricting its disclosure; or

24.7.4 which is independently developed without access to the Confidential Information; or

24.7.5 which must be disclosed pursuant to a statutory, legal or parliamentary obligation placed upon the Party making the disclosure including any requirements on the Client for disclosure under the Code of Practice on Public Access to Information published by the Client, the Freedom of Information Act 2000 or the Environmental Information Regulations 2004 and pursuant to Condition 27 (Freedom of Information).

24.8 Nothing in this Condition 24 shall prevent the Consultant from:

24.8.1 disclosing any Confidential Information which is required to be disclosed by an order of court or other competent tribunal or required to be disclosed by any applicable legal requirement; or

24.8.2 disclosing such Confidential Information as is strictly necessary for the purpose of obtaining legal advice or for the examination or preparation of the Consultant's

accounts to its legal advisors and accountants, provided that such legal advisors and accountants are bound by a professional duty of confidence.

24.9 Nothing in this Condition 24 shall prevent either Party from using any techniques, ideas or know-how gained during the performance of the Contract in the course of its normal business, to the extent that this does not result in a disclosure of Confidential Information or an infringement of Intellectual Property Rights.

24.10 In the event that the Consultant fails to comply with this Condition 24, the Client reserves the right to terminate the Contract by notice in writing with immediate effect.

24.11 The provisions of this Condition 24 shall apply during the continuance of the Contract and indefinitely after its termination howsoever arising.

## **25. PUBLICITY, MEDIA AND OFFICIAL ENQUIRIES**

25.1 Except with the written consent of the Client, the Consultant shall not make any press announcements or publicise the Contract or any part thereof in any way.

25.2 The Consultant shall take all reasonable steps to ensure the observance of Condition 25.1 by its Staff.

25.3 The provisions of this Condition 25 shall apply during the continuance of this Contract and indefinitely after its expiry or termination.

## **26. DATA PROTECTION**

26.1 In this Condition 26 the term Personal Data has the same meaning given in the Data Protection Act 1998 (“the 1998 Act”) and “processed” and “process” shall be construed in accordance with the 1998 Act.

26.2 The Consultant shall comply with the 1998 Act and any other applicable data protection legislation. In particular the Consultant agrees to comply with the obligations placed on the Client by the

seventh data protection principle ("the Seventh Principle") set out in the 1998 Act, namely:

- 26.2.1 to maintain technical and organisational security measures sufficient to comply at least with the obligations imposed on the Client by the Seventh Principle;
  - 26.2.2 only to process Personal Data for and on behalf of the Client, in accordance with the instructions of the Client and for the purpose of performing its obligations under the Contract and to ensure compliance with the 1998 Act;
  - 26.2.3 to allow the Client to audit the Consultant's compliance with the requirements of this Condition 26 on reasonable notice and/or to provide the Client with evidence of its compliance with the obligations set out in this Condition 26.
- 26.3 The Consultant agrees to indemnify and keep indemnified the Client against all claims and proceedings and all liability, loss, costs and expenses incurred in connection therewith by the Client as a result of any claim made or brought by any individual or other legal person in respect of any loss, damage or distress caused to that individual or other legal person as a result of the Consultant's unauthorised processing, unlawful processing, destruction of and/or damage to any Personal Data processed by the Consultant, its employees or agents in the Consultant's performance of the Contract or as otherwise agreed between the Parties.
- 26.4 Both Parties agree to use all reasonable efforts to assist each other to comply with the 1998 Act. For the avoidance of doubt, this includes the Consultant providing the Client with reasonable assistance in complying with subject access requests served on the Client under Section 7 of the 1998 Act and the Consultant consulting with the Client prior to the disclosure by the Consultant of any Personal Data in relation to such requests.

## **27. FREEDOM OF INFORMATION**

- 27.1 The Consultant acknowledges that the Client is subject to the requirements of the Code of Practice on Public Access to Information published by the National Assembly for Wales (“the NAW Code”), the Freedom of Information Act (“the FOIA”) and the Environmental Information Regulations 2004 (“EIR”).
- 27.2 The Consultant acknowledges that the Client shall be responsible for determining in its absolute discretion whether:
- 27.2.1 to disclose any information which it has obtained under or in connection with the Contract to the extent that the Client is required to disclose such information to a person making a disclosure request under the FOIA or the EIR (“an RFI”);
- 27.2.2 any information is exempt from disclosure under the NAW Code, the FOIA or the EIR.
- 27.3 The Consultant acknowledges that the Client may, acting in accordance with the Department of Constitutional Affairs Code of Practice on the Discharge of Functions of Public Authorities issued under Section 45 of the FOIA (“the DCA Code”), be obliged under the NAW Code, the FOIA or the EIR to disclose information subject to an RFI:
- 27.3.1 following consultation with the Consultant carried out in accordance with the DCA Code;
- 27.3.2 without consulting with the Consultant where this is not required by the NAW Code or the DCA Code.
- 27.4 Where the Consultant is consulted in accordance with Condition 27.3.1 then the Consultant shall respond with any views within five (5) working days.
- 27.5 In no event shall the Consultant respond directly to an RFI unless expressly authorised in writing to do so by the Client.
- 27.6 The Consultant shall promptly provide all necessary assistance as reasonably required by the Client to respond to an

RFI by providing such information and access to documents and records as the Client reasonably requires in order to answer a disclosure request within the time for compliance set out in Section 10 of the FOIA.

27.7 The provisions of this Condition 27 shall apply during the continuance of this Contract and indefinitely after its expiry or termination.

## 28. THE HUMAN RIGHTS ACT 1998

28.1 The Consultant shall, and shall use reasonable endeavours to ensure that its

Staff shall, at all times, act in a way which is compatible with the

Convention rights within the meaning of Section 1 of the Human Rights Act

1998. The Consultant agrees to indemnify and keep indemnified the Client against all loss, costs, proceedings or damages whatsoever arising out of or in connection with any breach by the Consultant of its obligations under this Condition 28.

## 29. INTELLECTUAL PROPERTY RIGHTS

29.1 It shall be a condition of this Contract that, except to the extent that the Services incorporate designs furnished by the Client, the Services will not infringe any Intellectual Property Rights of any third party and the Consultant shall fully indemnify the Client and the Crown against all actions, suits, claims, demands, losses, charges, costs and expenses which the Client or the Crown may suffer or incur as a result of or in connection with any breach of this Condition 29.

29.2 The Consultant shall obtain the Client's approval before using any material in relation to the performance of the Contract which is or may be subject to any third party Intellectual Property Rights. Where such approval is given by the Client, the Consultant shall procure that the owner of the rights grants to the Client a non-exclusive licence or, if itself a licensee of those rights, shall grant to the Client an authorised sub-licence to use, reproduce, modify, adapt and enhance the material subject to such rights. Such licence

shall be perpetual and irrevocable and shall be granted at no cost to the Client.

29.3 All Intellectual Property Rights in any specifications, instructions, plans, drawings, patents, patterns, models, designs or other material:

29.3.1 furnished to or made available to the Consultant by the Client shall remain the property of the Client;

29.3.2 prepared by or for the Consultant for use, or intended use, in relation to the performance of this Contract are hereby assigned to and shall vest in the Crown absolutely, and (without prejudice to Condition 24 (Confidentiality) the Consultant shall not and shall procure that his Staff shall not (except to the extent necessary for the implementation of this Contract) without the prior approval of the Client use or disclose any such Intellectual Property Rights or any other information (whether or not relevant to this Contract) which the Consultant may obtain pursuant to or

by reason of this Contract, except information which is in the public domain otherwise than by reason of a breach of this provision, and in particular (but without prejudice to the generality of the foregoing) the Consultant shall not refer to the Client or the Contract in any advertisement without the Client's prior approval.

29.4 The Consultant shall forthwith notify the Client if any claim or demand is made or action brought against the Consultant for infringement or alleged infringement of any Intellectual Property Right in connection with the performance of the Contract. The Consultant shall at its own expense conduct any litigation arising therefrom and all negotiations in connection therewith provided always that the Consultant shall consult the Client on all substantive issues which arise during the conduct of such litigation and negotiations and shall, in such conduct, take due and proper account of the interests of the Client.

29.5 The Client shall at the request of the Consultant afford to the Consultant all reasonable assistance for the purpose of contesting

any claim or demand made or action brought against the Client or the Consultant for infringement or alleged infringement of any Intellectual Property Right in connection with the performance of the Contract and shall be repaid all costs and expenses (including but not limited to legal costs and disbursements on a solicitor and client basis) incurred in doing so.

29.6 The Client shall not make any admissions which may be prejudicial to the defence or settlement of any claim, demand or action for infringement or alleged infringement of any Intellectual Property Right by the Client or the Consultant in connection with the performance of the Contract.

29.7 If a claim, demand or action for infringement or alleged infringement of any Intellectual Property Right is made in connection with the Contract or in the reasonable opinion of the Consultant is likely to be made, the Consultant shall at its own expense and subject to the approval of the Client (not to be unreasonably withheld or delayed) either:

29.7.1 modify any or all of the Services without reducing the quality or fitness for purpose of the same, or substitute alternative Services of equivalent quality and fitness for purpose, so as to avoid the infringement or alleged infringement, provided that this Contract

shall apply *mutatis mutandis* to such modified Services or to the substituted Services; or

29.7.2 procure a licence to use the Intellectual Property Rights which are the subject of the infringement or alleged infringement, on terms which are acceptable to the Client.

29.8 The foregoing provisions of this Condition 29 shall not apply insofar as any such claim or demand or action is in respect of:

29.8.1 any use by or on behalf of the Client of Services in combination with any item not supplied or authorised by the Consultant (which shall act reasonably in giving such authorisation) where such use of the Services directly gives rise to the claim, demand or action; or

29.8.2 the use by the Client of the Services in a manner not reasonably inferred from the Specification; or

29.8.3 the Client's unreasonable refusal to accept modified or substitute

Services pursuant to Condition 29.7.1 above.

29.9 If the Consultant has availed itself of the rights to modify or substitute the Services or to procure a licence and such exercise of the said rights has avoided any claim, demand or action for infringement, then the Consultant shall have no further liability under this Condition 29 in respect of the said claim, demand or action.

29.10 If a modification or substitution in accordance with Condition 29.7.1 above is not possible so as to avoid the infringement and the Consultant has been unable to procure a licence in accordance with Condition 29.7.2, Condition 29.1 shall apply.

29.11 The foregoing states the entire liability of the Consultant with regard to the infringement of any Intellectual Property Right in connection with the performance of the Contract.

29.12 At the termination of the Contract the Consultant shall immediately return to the Client all materials, work or records held, including any back up media.

29.13 The provisions of this Condition 29 shall apply during the continuance of this Contract and indefinitely after its termination or expiry howsoever arising.

### 30. **INDEMNITIES AND INSURANCE**

30.1 The Consultant shall **indemnify** and keep **indemnified** the Client, the Crown, its servants, agents or sub-Consultants against all actions, claims, proceedings, damages, demands, costs (including but not limited to legal costs), expenses and any other liabilities whatsoever arising out of or in connection with the Contract and the Consultant's performance thereof in respect of any death or personal injury, or loss or damage to property which is

caused directly or indirectly by any act or omission of the Consultant and Staff.

- 30.2 The Consultant shall further **indemnify** and keep indemnified the Client, the Crown, and its or their employees, servants, agents or sub-Consultants against any expenditure relating to the repair of the Premises or replacement of equipment arising as a result of negligence or Default on the part of the Consultant or its Staff.
- 30.3 The indemnity in Condition 30.1 shall not apply to actions, claims, proceedings, damages, demands, costs, expenses and any other liabilities arising out of the death or personal injury to persons not employed by the Consultant or loss or damage to property owned by persons not employed by the Consultant to the extent that such death or injury or loss or damage to property was caused by the wilful act or omission of the Client, the Crown or any of its or their employees, servants, agents or sub-contractors. For the purpose of this Condition 30.3 a person shall be considered as employed by the Consultant if they were so employed at the date or dates they suffered death or injury or loss or damage to their property.
- 30.4 The Consultant shall (to the extent that such policies of insurance are generally available) effect and maintain with an insurer of repute carrying on business in the European Union a policy or policies of insurance providing the level of cover specified in the Tender Documents or if none, an adequate level of cover in respect of all risks which may be incurred by the Consultant arising out of the Consultant's performance of the Contract, including (without limitation) death or personal injury or loss of or damage to property. Such policies shall include (but shall not be limited to):
- 30.4.1 cover in respect of any financial loss arising from any advice given or omitted to be given by the Consultant; and
  - 30.4.2 public liability and product liability insurance to include any liabilities (whether arising in contract, tort or otherwise) it may have to the Client under the Contract including cover for loss or damage to the Client's

Premises or any of the Client's property from time to time in the custody or control of the Consultant,

in the sum of not less than £500,000 for any one event or series of connected events arising from the same incident and unlimited in total, unless otherwise agreed by the Client in writing. Such insurance is to be taken out by the Consultant in the joint names of the Consultant and the Client notwithstanding that the Consultant shall be solely responsible for the payment of the insurance premium including related taxes and any brokerage charges.

- 30.5 For the avoidance of doubt, if insurance is refused or only offered subject to onerous or unusual conditions due to the Consultant's claims record or any other reason particular to the Consultant, this shall not be taken to mean that insurance of that type is not generally available.
- 30.6 The Consultant shall hold employer's liability insurance in respect of Staff in accordance with any legal requirement for the time being in force.
- 30.7 The Consultant shall produce to the Client upon request evidence that all insurance policies referred to in this Condition 30 are being maintained in the form of a broker's letter or similar, together with receipts or other evidence of payment of the last premium due thereunder.
- 30.8 The terms of any insurance or the amount of cover shall not relieve the Consultant of any liabilities under the Contract. It shall be the responsibility of the Consultant to determine the amount of insurance cover that will be adequate to enable the Consultant to satisfy any liability referred to under Conditions 30.1 and 30.2.
- 30.9 Where relevant to this Condition 30 the Consultant shall notify the Client immediately on becoming aware of any fact or matter which could render the Client liable to prosecution.

## **31. ALTERNATIVE CONSULTANT**

- 31.1 The Client reserves the right without incurring liability to the

Consultant to use an alternative consultant at any time during the currency of this Contract due to the Consultant's inability to provide any of the Services to the Client's satisfaction for any reason outside the control of the Client or the Consultant is in breach of any provision of this Contract.

31.2 In accordance with Condition 5.8 in the event that the Client incurs charges over and above the Contract Price as a result of using an alternative consultant the Client may at its discretion elect that those charges are met by the Consultant.

## 32. TERMINATION

32.1 The Client may terminate the Contract by notice in writing with immediate effect where:

32.1.1 the Consultant undergoes a change of control, within the meaning of Section 416 of the Income and Corporation Taxes Act 1988, which impacts adversely and materially on the performance of the Contract; or

32.1.2 the Consultant is an individual or a firm and a petition is presented for the Consultant's bankruptcy, or a criminal bankruptcy order is made against the Consultant or any partner in the firm, or the Consultant or any partner in the firm makes any composition or arrangement with or for the benefit of creditors, or makes any conveyance or assignment for the benefit of creditors, or if an administrator is appointed to manage the Consultant's or firm's affairs; or

32.1.3 the Consultant is an individual, if he shall die or be adjudged incapable of managing his affairs within the meaning of Part VII of the Mental Health Act 1983;

32.1.4 the Consultant is a company, if the company passes a resolution for winding up or dissolution (otherwise for the purposes of and followed by a genuine amalgamation or reconstruction) or an application is made for, or any meeting of its directors or members resolves to make an application for an administration

order in relation to it or any party gives or files notice of intention to appoint an administrator of it or such an administrator is appointed, or the court makes a winding-up order, or the company makes a composition or arrangement with its creditors, or an administrative receiver, receiver, manager, or supervisor is appointed by a creditor or by the court, or possession is taken of any of its property under the terms of a fixed or floating charge; or

32.1.5 where the Consultant is unable to pay its debts within the meaning of Section 123 of the Insolvency Act 1986; or

32.1.6 any similar event occurs under the law of any other jurisdiction to which the Consultant is subject.

32.2 In respect of termination for a change in control, the Client may only exercise its right under Condition 32.1 within six (6) months after a change of control occurs and shall not be permitted to do so where it has agreed in advance to the particular change of control that occurs.

32.3 The Consultant shall notify the Client in writing immediately upon the occurrence of any of the events described in Condition 32.1 above.

32.4 The Client may terminate the Contract, or terminate the provision of any part of the Contract, by written notice to the Consultant with immediate effect if the Consultant commits a Default and if:

32.4.1 the Consultant has not remedied the Default to the satisfaction of the Client within thirty (30) days, or such other period as may be specified by the Client, after issue of a written notice specifying the Default and requesting it to be remedied; or

32.4.2 the Default is not capable of remedy; or

32.4.3 the Default is a fundamental breach of the Contract.

32.5 In the event that through any Default of the Consultant, data

transmitted or processed in connection with the Contract is either lost or sufficiently degraded to be unusable, the Consultant shall be liable for the cost of reconstitution of that data and shall provide a full credit in respect of any charge levied for its transmission.

### **33. EFFECT OF TERMINATION FOR DEFAULT**

33.1 Where the Contract is terminated in accordance with Condition 32, or for Default or breach of any other obligation under the Contract, thereupon, without prejudice to any other of its rights, the Client may itself complete the Services or have them completed by a third party, using for that purpose (making a fair and proper allowance therefore in any payment subsequently made to the Consultant) all materials, plant and equipment on the Premises belonging to the Consultant, and the Client shall not be liable to make any further payment to the Consultant until the Services have been completed in accordance with the requirements of the Contract, and shall be entitled to deduct from any amount due to the Consultant any extra costs in respect thereof incurred by the Client (including the Client's own costs). If the total of such extra costs to the Client exceeds the amount (if any) due to the Consultant, the difference shall be recoverable by the Client from the Consultant.

### **34. BREAK**

34.1 In addition to the Client's rights of termination under Condition 32, the Client shall be entitled to terminate this Contract by giving to the Consultant not less than thirty (30) days' notice to that effect.

34.2 Upon receiving notice under Condition 34.1, the Consultant shall be entitled to fair and reasonable remuneration for such part of the Services as it has completed or commenced and in respect of which it has not been paid, and for any costs or liabilities incurred to third parties as a result of any irrevocable commitment entered into in performance of the Contract, to the extent that the Consultant is able to provide evidence to the reasonable satisfaction of the Client to support any sums which it claims.

34.3 Upon receiving notice under Condition 34.1, the Consultant shall

forthwith cease performing the Services and shall immediately take all reasonable steps to mitigate its costs and losses and withdraw from any commitments to third parties relating to performance of its obligations under the Contract.

- 34.4 The Client shall not be liable upon termination under Condition 34.1 to pay any sum which, when added to the sums paid or due to the Consultant under the Contract, exceeds the total sum which would have been payable to the Consultant if the Contract had not been terminated prior to the expiry of the original Contract Period.

### **35. EFFECT OF TERMINATION GENERALLY**

- 35.1 Termination under any provision of the Contract shall not prejudice or affect any right of action or remedy which shall have accrued or shall thereupon accrue to the Client and shall not affect the continued operation of any obligation which is expressed to continue beyond termination or expiry of the Contract.

### **36. DISRUPTION**

- 36.1 The Consultant shall take reasonable care to ensure that in the execution of the Contract it does not disrupt the operations of the Client, its servants, agents, employees, or any other Consultant employed by the Client.
- 36.2 The Consultant shall immediately inform the Client of any actual or potential industrial action, whether such action be by its own Staff or the Consultant's suppliers, which is likely to affect the Consultant's ability at any time to perform its obligations under the Contract.
- 36.3 In the event of industrial action by the Staff or the Consultant's suppliers, the Consultant shall seek the Client's approval to its proposals to perform its obligations under the Contract. If the Consultant's proposals are considered insufficient or unacceptable by the Client, then the Contract may be terminated by the Client by notice in writing with immediate effect.
- 36.4 If the Consultant is temporarily unable to perform any of its obligations under the Contract owing to disruption of its normal business by direction of the Client, an appropriate allowance by

way of extension of time for performance of the obligation so affected will be approved by the Client.

### **37. ASSIGNMENT AND SUB-CONTRACTING**

- 37.1 The Consultant shall not assign, mortgage, charge or otherwise transfer any rights or obligations under this Contract without the prior written consent of the Client.
- 37.2 The Consultant shall not sub-contract any portion of the Contract without the prior written consent of the Client. Sub-contracting any part of the Contract shall not relieve the Consultant of any obligation or duty attributable to him under the Contract.
- 37.3 The Consultant shall not sub-contract any portion of the Contract without the prior written consent of the Client. Sub-contracting any part of the Contract shall not relieve the Consultant of any obligation or duty attributable to it under the Contract.
- 37.4 Where the Client has consented to the placing of sub-contracts, copies of each sub-contract shall be sent by the Consultant to the Client immediately it is issued.
- 37.5 The Client may upon giving notice to the Consultant assign, novate or otherwise dispose of its rights obligations and liabilities under this Contract or any part thereof to any UK contracting authority as defined in Article 1 of Directive 2004/18/EC, provided that any such assignment, novation or other disposal shall not increase the burden of the Consultant's obligations under this Contract.

### **38. FORCE MAJEURE**

- 38.1 For the purpose of this Condition 38, "Force Majeure" means any event or occurrence which is outside the reasonable control of the Party concerned, and which is not attributable to any act or failure to take preventative action by the Party concerned, including (but not limited to) governmental regulations, fire, flood, or any disaster. It does not include any industrial action occurring within

the Consultant's organisation or within any sub- contractor's organisation.

- 38.2 Neither Party shall be liable to the other for any delay in or failure to perform its obligations under the Contract (other than a payment of money) if such delay or failure results from an event of Force Majeure. Each Party shall use all reasonable endeavours to continue to perform its obligations hereunder for the duration of such Force Majeure event. However, if such event prevents either Party from performing all of its obligations under the Contract for a period in excess of six (6) months, either Party may terminate the Contract by notice in writing with immediate effect.
- 38.3 Any failure or delay by the Consultant in performing its obligations under the Contract which results from any failure or delay by an agent, sub- Consultant or supplier shall be regarded as due to Force Majeure only if, and to the extent that, that agent, sub- contractors or supplier is itself impeded by Force Majeure from complying with an obligation to the Consultant.
- 38.4 If either of the Parties shall become aware of circumstances of Force Majeure which give rise to or are likely to give rise to any failure or delay on its part it shall forthwith notify the other by the most expeditious method then available and shall inform the other of the period over which it is estimated that such failure or delay shall continue.
- 38.5 For the avoidance of doubt, it is hereby expressly declared that the only events which shall afford relief from liability for failure or delay in performance of the Contract shall be any event qualifying as Force Majeure.

## 39. **SCOPE OF CONTRACT**

- 39.1 At all times in connection with the Contract, the Consultant shall be an independent contractor and nothing in the Contract shall create a relationship of agency or partnership or a joint venture as between the Consultant and the Client and accordingly the Consultant shall not be authorised to bind the Client.
- 39.2 The Consultant shall not (and shall procure that its Staff do not)

say or do anything that might lead any other person to believe that the Consultant is acting as the agent of the Client.

- 39.3 Nothing in this Contract shall impose any liability on the Client in respect of any liability incurred by the Consultant to any other person but this shall not be taken to exclude or limit any liability of the Client to the Consultant that may arise by virtue of either a breach of this Contract or any negligence on the part of the Client, its employees, servants agents or sub-Consultants.

#### 40. **NOTICES**

- 40.1 Except as otherwise expressly provided within the Contract, no notice or other communication from one Party to the other shall have any validity under the Contract unless made in writing by or on behalf of the Party concerned.
- 40.2 Any notice or other communication which is to be given by either Party to the other shall be given by letter (sent by hand, post, registered post or by the recorded delivery service), by facsimile transmission or electronic mail (confirmed in either case by letter). Such letters shall be addressed to the other Party at the address notified in the Tender Documents or such other address as either Party may nominate to the other from time to time.
- 40.3 Provided the relevant communication is not returned as undelivered, the notice or communication shall be deemed to have been given two (2) working days after the day on which the letter was posted, or four (4) hours, in the case of electronic mail or facsimile transmission or sooner where the other Party acknowledges receipt of such letter, facsimile transmission or electronic mail.

#### 41. **WARRANTY**

- 41.1 The Consultant warrants to the Client that it has all necessary corporate standing and authorisation to enter into and be bound by the terms of the Contract.
- 41.2 The Consultant warrants to the Client that it is not in default in the payment of any due and payable taxes or in the filing, registration or recording of any document or under any legal or statutory obligation or requirement which default might have a material adverse

effect on its business, assets or financial condition or its ability to observe or perform its obligations under the Contract.

**42. AMENDMENT**

42.1 This Contract may only be amended in writing signed by the duly authorised representatives of the Parties.

**43. WAIVER**

43.1 No failure or delay on the part of either Party to exercise any right or remedy under this Contract shall be construed or operate as a waiver thereof, nor shall any single or partial exercise of any right or remedy preclude the further exercise of such right or remedy.

**44. SEVERABILITY**

44.1 If any provision or part of this Contract is held to be invalid, amendments to this Contract may be made by the addition or deletion of wording as appropriate to remove the invalid part or provision but otherwise retain the provision and the other provisions of this Contract to the maximum extent permissible under the applicable law.

**45. THE CONTRACTS (RIGHTS OF THIRD PARTIES) ACT 1999 (“the 1999 Act”)**

45.1 No person who is not a Party to this Contract (including without limitation any employee, officer, agent, shareholder, representative or sub-Consultant of either the Client or the Consultant) shall have any right to enforce any term of the Contract which expressly or by implication confers a benefit on such person, without the prior agreement in writing of both Parties, which agreement should specifically refer to this Condition 45. This Condition 45 does not affect any right or remedy of any person which exists or is available otherwise than pursuant to the 1999 Act and does not apply to the Crown.

**46. DISPUTE RESOLUTION**

- 46.1 During any dispute, including a dispute as to the validity of the Contract, it is mutually agreed that the Parties shall continue their respective performance of the Contract (unless the Client requests in writing that the Consultant does not do so).
- 46.2 The Parties shall attempt in good faith to negotiate a settlement to any dispute between them (other than a matter in respect of which the Client's opinion is expressed to be final) arising out of or in connection with the Contract within thirty (30) days of either Party notifying the other of the dispute. Such efforts shall include the escalation of the dispute to the Head of Procurement of the Client and the Consultant's Finance Director or equivalent.
- 46.3 Nothing in this Contract shall prevent either Party from seeking from any court of competent jurisdiction an interim order or injunction restraining the other Party from doing any act or compelling the other Party to do any act.
- 46.4 If the dispute cannot be resolved by negotiation, the dispute shall be referred to mediation pursuant to the procedure set out below unless either:
- 46.4.1 the Client considers that the dispute is not suitable for mediation;
- or
- 46.4.2 the Consultant does not agree to mediation.

For the avoidance of doubt, nothing in this Contract shall be taken to exclude or limit the rights of any Party to make such applications (including

but not limited to applications as to costs) as it sees fit in any proceedings, relating to the conduct of the other Party and in particular any decision made under Condition 46.4.1 or 46.4.2 above.

- 46.5 The procedure for mediation and consequential provisions relating to mediation are as follows:
- 46.5.1 a neutral mediator ("the Mediator") shall be chosen by

agreement between the Parties or, if they are unable to agree upon a Mediator within fourteen (14) days after a request from one Party to the other or if the Mediator agreed upon is unable or unwilling to act, either Party may apply to the ADR Group, Grove House, Grove Road, Redland, Bristol BS6 6UN to appoint a Mediator and the mediation shall be conducted in accordance with the rules and procedures of the ADR Group. If the ADR Group is unable or unwilling to nominate a Mediator then either Party may (subject to obtaining the consent of the other) approach an alternative reputable mediation body.

46.5.2 The Parties shall within fourteen (14) days of the Mediator's appointment meet with him in order to discuss and agree a programme for the exchange of all relevant information and the procedure to be adopted for the mediation. Either Party may ask the Mediator to give guidance on a suitable programme for information exchange and/or mediation procedure.

46.5.3 Unless otherwise agreed, all negotiations connected with the dispute and any settlement agreement relating to it shall be in confidence and without prejudice to the rights of the Parties in any future proceedings.

46.5.4 If the Parties reach agreement on the resolution of the dispute or any part of it, their agreement shall be reduced to writing and shall be binding on the Parties once it is signed by each of them or their duly authorised representatives.

46.5.5 If the mediation fails to achieve a resolution of the dispute or any part of it, either Party may ask the Mediator to provide a non-binding but informative opinion in writing. Such an opinion shall be provided on a without prejudice basis and shall not be used in

evidence in any proceedings relating to the Contract without the prior written consent of both Parties.

46.5.6 If the Parties fail to reach a resolution of the dispute or

any part of it within sixty (60) days of the Mediator being appointed, or within such longer period as the Parties may agree, then any outstanding dispute or difference between them may be referred to the Courts.

46.5.7 Subject to Conditions 46.3 and 46.4, neither Party may commence any action in the Courts until the mediation procedure set out in this Condition 46.5 has been completed.

## **47 ANTI-BRIBARY**

47.1 You shall and shall procure that all persons associated with it or involved in the provisions of the service will be:

- comply with all applicable laws, statutes, regulations and codes relating to anti-bribery and anti-corruption, including the Bribery Act 2010 and not do, or omit to do, any act that may cause or lead the Us to be in breach of any of the Relevant Requirements;
- not engage in any activity, practice or conduct which would constitute an offence under sections 1, 2 or 6 of the Bribery Act 2010 if such an activity, practice or conduct had been carried out in the UK;
- have and shall maintain in force throughout the Term its own policies and procedures including adequate procedures under the Bribery Act 2010, to ensure compliance with the Relevant Requirements;
- promptly report to Us any request or demand for any undue financial or other advantage of any kind received by You in connection with the performance of this agreement; and
- Immediately notify Us in writing if a foreign public official becomes an officer or employee or acquires a direct or indirect interest in your company.

47.2 You shall indemnify Us in respect of any breach by You of this clause 26.

## **48. LAW AND JURISDICTION**

48.1 This Contract shall be deemed to be a contract made in Wales and shall be governed by and interpreted in accordance with the law of England and Wales, as it applies in Wales. All disputes arising

under or in connection with it shall (subject to Condition 46 above) be submitted in the first instance to the non-exclusive jurisdiction of the Courts in Cardiff.