

2013 No. 2139 (W. 209)

FOOD, WALES

**The Fish Labelling (Wales)
Regulations 2013**

EXPLANATORY NOTE

(This note is not part of the Regulations)

These Regulations enforce in Wales the consumer information requirements of Chapter 2 of Title I of Council Regulation (EC) No 104/2000 on the common organisation of the markets in fishery and aquaculture products (OJ No L 17, 21.1.2000, p 22) and Commission Regulation (EC) No 2065/2001 laying down detailed rules for the application of Council Regulation (EC) No 104/2000 as regards informing consumers about fishery and aquaculture products (OJ No L 278, 23.10.2001, p 6). They also enforce in Wales the traceability requirements of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy (OJ No L 343, 22.12.2009, p 1) and Article 67 of Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy (OJ No L 112, 30.4.2011, p 1).

Regulation 4 sets out the consumer information requirements and the traceability requirements.

Regulation 5 applies section 10 of the Food Safety Act 1990 (c.16) with modifications so that an authorised officer of an enforcement authority can serve an improvement notice on an operator who fails to comply with the consumer information requirements or traceability requirements. Regulation 6 applies section 37 of that Act with modifications so that an operator can appeal against service of an improvement notice to the magistrates' court. Regulation 7 applies section 39 of the Act to enable the court to either cancel or affirm an improvement notice.

Regulation 8 requires operators to keep records of information specified in Article 58(4) of Council Regulation (EC) No 1224/2009 (as read with Article 67(4) of Commission Implementing Regulation (EU) No 404/2011) and creates an offence for failure to comply with that requirement. Regulation 9 creates an offence for failure to produce those records on demand in breach of that Article.

Regulation 11 and the Schedule apply certain other provisions of the Food Safety Act 1990 to these Regulations with consequential modifications.

Regulation 12 provides that each food authority in Wales must execute and enforce these Regulations in its area.

The Welsh Ministers' Code of Practice on the carrying out of Regulatory Impact Assessments was considered in relation to these Regulations. As a result, a regulatory assessment has been prepared as to the likely costs and benefits of complying with these Regulations. A copy can be obtained from the Food Standards Agency at Food Standards Agency Wales, 11th Floor, Southgate House, Wood Street, Cardiff, CF10 1EW.

2013 No. 2139 (W. 209)

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**The Fish Labelling (Wales)
Regulations 2013**

Made 27 August 2013

Laid before the National Assembly for Wales
29 August 2013

Coming into force 26 September 2013

The Welsh Ministers make the following Regulations in exercise of the powers conferred by sections 6(4)(1), 16(1)(2), 17(2)(3), 26(2)(a) and (3)(4) and 48(1)(5) of the Food Safety Act 1990(6), and paragraph 1A of Schedule 2 to the European Communities Act 1972(7).

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- (1) Section 6(4) was amended by section 31 of, and paragraph 6 of Schedule 9 to, the Deregulation and Contracting Out Act 1994 (c.40); section 40(1) of, and paragraphs 7, 10(1) and (3) of Schedule 5 to, the Food Standards Act 1999 (c.28), section 40(4) of, and Schedule 6 to, that Act and S.I. 2002/794.
 - (2) Section 16(1) was amended by section 40(1) of, and paragraphs 7 and 8 of Schedule 5 to, the Food Standards Act 1999.
 - (3) Section 17(2) was amended by section 40(1) of, and paragraphs 7, 8 and 12 of Schedule 5 to, the Food Standards Act 1999 and S.I. 2011/1043.
 - (4) Section 26(3) was partially repealed by section 40(4) of, and Schedule 6 to, the Food Standards Act 1999.
 - (5) Section 48(1) was amended by section 40(1) of, and paragraphs 7 and 8 of Schedule 5 to, the Food Standards Act 1999.
 - (6) 1990 c.16. Functions formerly exercisable by “the Ministers”, so far as exercisable in relation to Wales, were transferred to the National Assembly for Wales by S.I. 1999/672 as read with section 40(3) of the Food Standards Act 1999, and subsequently transferred to the Welsh Ministers by paragraph 30 of Schedule 11 to the Government of Wales Act 2006 (2006 c.32).
 - (7) 1972 c.68. Paragraph 1A of Schedule 2 was inserted by section 28 of the Legislative and Regulatory Reform Act 2006 (c.51).

In accordance with section 48(4A)(1) of the Food Safety Act 1990, the Welsh Ministers have had regard to relevant advice given by the Food Standards Agency.

These Regulations make provision for a purpose mentioned in section 2(2) of the European Communities Act 1972 and it appears to the Welsh Ministers that it is expedient for references in these Regulations to the following Regulations to be construed as references to those Regulations as amended from time to time—

- (a) Council Regulation (EC) No 104/2000 on the common organisation of the markets in fishery and aquaculture products(2);
- (b) Commission Regulation (EC) No 2065/2001 laying down detailed rules for the application of Council Regulation (EC) No 104/2000 as regards informing consumers about fishery and aquaculture products(3);
- (c) Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy(4); and
- (d) Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy(5).

As required by Article 9 of Regulation (EC) No 178/2002 of the European Parliament and of the Council laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety(6), there has been open and transparent public consultation during the preparation and evaluation of these Regulations.

(1) Section 48(4A) was inserted by section 40(1) of, and paragraphs 7 and 21 of Schedule 5 to, the Food Standards Act 1999.

(2) OJ No L 17, 21.1.2000, p 22, as last amended by OJ No L 349, 19.12.2012, p 4.

(3) OJ No L 278, 23.10.2001, p 6, as last amended by OJ No L 362, 20.12.2006, p 1.

(4) OJ No L 343, 22.12.2009, p 1.

(5) OJ No L 112, 30.4.2011, p 1, as amended by OJ No L 328, 10.12.2011, p 58.

(6) OJ No L 31, 1.2.2002, p 1, as last amended by OJ No L 188, 18.7.2009, p 14.

PART 1

Introduction

Title, commencement, application and extent

1.—(1) The title of these Regulations is the Fish Labelling (Wales) Regulations 2013.

(2) These Regulations come into force on 26 September 2013 and they apply to Wales.

Interpretation

2.—(1) In these Regulations—

“the EU Regulations” (“*Rheoliadau'r UE*”) means Regulation 104/2000, Regulation 2065/2001, Regulation 1224/2009 and Regulation 404/2011;

“Regulation 104/2000” (“*Rheoliad 104/2000*”) means Council Regulation (EC) No 104/2000 on the common organisation of the markets in fishery and aquaculture products;

“Regulation 2065/2001” (“*Rheoliad 2065/2001*”) means Commission Regulation (EC) No 2065/2001 laying down detailed rules for the application of Council Regulation (EC) No 104/2000 as regards informing consumers about fishery and aquaculture products;

“Regulation 1224/2009” (“*Rheoliad 1224/2009*”) means Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the common fisheries policy;

“Regulation 404/2011” (“*Rheoliad 404/2011*”) means Commission Implementing Regulation (EU) No 404/2011 laying down detailed rules for the implementation of Council Regulation (EC) No 1224/2009 establishing a Community control system for ensuring compliance with the rules of the Common Fisheries Policy.

(2) In these Regulations—

(a) references to any of the EU Regulations are references to the EU Regulations in question as amended from time to time; and

(b) terms used that are also used in any of the EU Regulations have the same meaning as in the EU Regulations in question.

PART 2

Consumer information and traceability requirements in Wales

Interpretation of Part 3

3. In this Part, “the Act” (“*y Ddeddf*”) means the Food Safety Act 1990.

Consumer information and traceability requirements

4.—(1) In this Part—

- (a) “consumer information requirement” (“*gofyniad gwybodaeth i ddefnyddwyr*”) means a requirement referred to in paragraph (2), subject to paragraphs (4) to (7); and
- (b) “traceability requirement” (“*gofyniad gallu i olrhain*”) means a requirement referred to in paragraph (3), subject to paragraph (7).

(2) For the purposes of paragraph (1)(a), the requirements are—

- (a) a requirement specified in Article 4(1) of Regulation 104/2000 (provision of consumer information) as read with the following provisions of Regulation 2065/2001—
 - (i) Articles 2 and 3 (commercial designation),
 - (ii) Article 4(1) and (3) (production method),
 - (iii) Article 5 (catch area), and
 - (iv) Article 6 (combination sales);
- (b) a requirement specified in Article 58(6) of Regulation 1224/2009 as read with Articles 67(13) and 68 of Regulation 404/2011.

(3) For the purposes of paragraph (1)(b), the requirements are Article 58(2), (3) and (5) of Regulation 1224/2009 as read with Article 58(7) of that Regulation and Article 67(1) to (3) and (5) to (13) of Regulation 404/2011.

(4) The requirement specified in Article 4(1) of Regulation 104/2000 as read with Article 4(1) of Regulation 2065/2001 does not apply in circumstances described in Article 4(2) of Regulation 2065/2001.

(5) The requirement specified in Article 4(1) of Regulation 104/2000 as read with Article 5(1)(c) of Regulation 2065/2001 does not preclude the indication of various member States or third countries as described in Article 5(1)(c) of Regulation 2065/2001.

(6) The requirement referred to in paragraph (2)(a) does not apply in circumstances described in the last paragraph of Article 4(1) of Regulation 104/2000, as read with the last sentence of Article 7 of Regulation

2065/2001, where each purchase does not exceed the sterling equivalent of 20 euros or, in the case of direct sale from a fishing vessel, 50 euros.

(7) The requirements referred to in paragraphs (2)(b) and (3) do not apply in circumstances described in Article 58(8) of Regulation 1224/2009, as read with Article 67(14) of Regulation 404/2011, where the sale does not exceed the sterling equivalent of 50 euros per day.

Improvement notice

5.—(1) Section 10 of the Act (improvement notices) applies for the purposes of these Regulations with the following modifications.

(2) For subsection (1), substitute—

“(1) If an authorised officer of an enforcement authority has reasonable grounds for believing that an operator has not complied with, or is not likely to comply with, a consumer information requirement or a traceability requirement, the officer may, by notice served on that operator (in this Act referred to as an “improvement notice”)—

- (a) state the officer’s grounds for believing that the operator is failing to comply with those requirements;
- (b) specify the matters which constitute the operator’s failure so to comply;
- (c) specify the measures which, in the officer’s opinion, the operator must take in order to secure compliance; and
- (d) require the operator to take those measures, or measures which are at least equivalent to them, within such period as may be specified in the notice.”

(3) For subsection (3), substitute—

“(3) In this section—

- (a) “consumer information requirement” and “traceability requirement” have the meanings given by regulation 4(1) of the Fish Labelling (Wales) Regulations 2013;
- (b) “operator” has the same meaning as in the EU Regulations as defined in regulation 2(1) of the Fish Labelling (Wales) Regulations 2013 as read with regulation 2(2)(a) of those Regulations.”

Appeal to the magistrates' court

6.—(1) Section 37 of the Act⁽¹⁾ (appeals to magistrates' court or sheriff) applies for the purposes of these Regulations with the following modifications.

(2) For subsection (1), substitute—

“(1) Any person who is aggrieved by a decision of an authorised officer of an enforcement authority to serve an improvement notice under section 10, as applied by regulation 5 of the Fish Labelling (Wales) Regulations 2013, may appeal to the magistrates' court.”

(3) Omit subsections (2) to (5).

(4) In subsection (6), for “(3) or (4)”, substitute “(1)”.

Appeals against improvement notices

7.—(1) Section 39 of the Act (appeals against improvement notices) applies for the purposes of these Regulations with the following modifications.

(2) For subsection (1), substitute—

“(1) On an appeal against an improvement notice served under section 10, as applied by regulation 5 of the Fish Labelling (Wales) Regulations 2013, the court may either cancel or affirm the notice and, if it affirms it, may do so either in its original form or with such modifications as the court may in the circumstances think fit.”

(3) In subsection (3), omit “for want of prosecution”.

Requirement to keep records

8.—(1) For the purposes of Article 58(4) of Regulation 1224/2009, an operator must keep a record of the information referred to in that Article as read with Article 67(4) of Regulation 404/2011.

(2) The record must be kept for three years from the date the transaction is completed.

(3) It is an offence to fail to comply with this regulation.

Requirement to produce records

9. An operator who fails to comply with the second sentence of Article 58(4) of Regulation 1224/2009 is guilty of an offence.

(1) Section 37 was amended by section 48(1) of, and paragraph 13(1) and (3) of Schedule 8 to, the Tribunals, Courts and Enforcement Act 2007 (c.15) and by section 146 of, and Part 1 of Schedule 23 to, that Act.

Penalties

10. A person guilty of an offence under regulation 8 or 9 is liable on summary conviction to a fine not exceeding level 5 on the standard scale.

Application and modification of the Act

11. The Schedule (application and modification of the Act) has effect.

Enforcement

12.—(1) Each food authority must enforce and execute these Regulations in its area.

(2) Each food authority is the competent authority in its area for the purposes of Article 58(4) of Regulation 1224/2009 and Article 67(5) of Regulation 404/2011.

Revocation

13. The Fish Labelling (Wales) Regulations 2010⁽¹⁾ are revoked.

Mark Drakeford

Minister for Health and Social Services, one of the
Welsh Ministers

27 August 2013

(1) S.I. 2010/797 (W.78), as amended by S.I. 2011/1043.

SCHEDULE

Regulation 11

Application and modification of the Act

PART 1

General

1. The following provisions of the Act apply for the purposes of these Regulations with the following modifications—

<i>Section of the Act</i>	<i>Modification</i>
Section 3 (presumptions that food intended for human consumption) Section 30(8)(1) (which relates to evidence of certificates given by a food analyst or examiner) Section 33 (obstruction etc of officers) Section 44 (protection of officers acting in good faith)	In these sections, for “this Act”, in each place occurring, substitute “the Fish Labelling (Wales) Regulations 2013”
Section 20 (offences due to fault of another person)	For “any of the preceding provisions of this Part”, substitute “section 10(2), as applied by regulation 5 of the Fish Labelling (Wales) Regulations 2013, or regulation 8 or 9 of those Regulations”
Section 21(2) (defence of due diligence)	In subsection (1), for “any of the preceding provisions of this Part” substitute “section 10(2), as applied by regulation 5 of the Fish Labelling (Wales) Regulations 2013, or regulation 8 or 9 of those Regulations” Omit subsections (2) to (4)
Section 29 (procurement of samples)	In paragraph (b)(ii), after “under section 32 below”, insert “as applied by regulation 13 of, and the Schedule to, the Fish Labelling (Wales) Regulations 2013”
Section 35(3) (punishment of offences)	In subsection (1), after “section 33(1) above”, insert “as applied by regulation 11 of, and the Schedule to, the Fish Labelling (Wales) Regulations 2013” After subsection (1), insert— “(1A) A person guilty of an offence under section 10(2), as applied by

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- (1) Section 30 was amended by section 40(1) of, and paragraphs 7 and 8 of Schedule 5 to, the Food Standards Act 1999 (c.28).
(2) Section 21 was amended by S.I. 2004/3279.
(3) Section 35 was amended by section 280(2) of, and paragraph 42 of Schedule 26 to, the Criminal Justice Act 2003 (c.44) and S.I. 1996/2235 and 2004/3279.

Section of the Act

Modification

regulation 5 of the Fish Labelling (Wales) Regulations 2013, is liable on summary conviction to a fine not exceeding level 5 on the standard scale.”

In subsection (2)—

(a) for the words “any other offence under this Act”, substitute “an offence under section 33(2) as applied by regulation 11 of, and the Schedule to, the Fish Labelling (Wales) Regulations 2013”;

(b) in paragraph (b), for “the relevant amount” substitute “the statutory maximum”

Omit subsections (3) and (4)

Section 36 (offences by bodies corporate)

For “this Act”, substitute “section 10(2) as applied by regulation 7 of the Fish Labelling (Wales) Regulations 2013 or regulation 8 or 9 of those Regulations”

PART 2

Powers of entry

2. For the purpose of enabling an authorised officer of an enforcement authority to ascertain whether there is, or has been, any contravention of a consumer information requirement or a traceability requirement, section 32 of the Act⁽¹⁾ (powers of entry) is modified in its application to these Regulations as follows—

- (a) in subsection (1)(a), after “made under it” insert “, or of a consumer information requirement or a traceability requirement”;
- (b) after subsection (9), insert—

“(10) In this section, “consumer information requirement” and “traceability requirement” have the meanings given by regulation 4(1) of the Fish Labelling (Wales) Regulations 2013.”

⁽¹⁾ Section 32 was amended by section 70 of, and paragraph 18 of Part 2 of Schedule 2 to, the Criminal Justice and Police Act 2001 (c.16).